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8

9 IN THE UNITED STATES DISTRICT COURT
10 FOR THE NORTHERN DISTRICT OF CALIFORNIA
11
12

13 **CHINATOWN NEIGHBORHOOD**
14 **ASSOCIATION, a nonprofit corporation,**
15 **and ASIAN AMERICANS FOR**
16 **POLITICAL ADVANCEMENT, a political**
17 **action committee,**

18 Plaintiffs,

19 v.

20 **EDMUND G. BROWN JR., Governor of the**
21 **State of California, KAMALA HARRIS,**
22 **Attorney General of the State of California,**
23 **and CHARLTON H. BONHAM, Director,**
24 **California Department of Fish and Game,**

25 Defendants.
26
27
28

CV 12 3759 PJH

**DEFENDANTS' OPPOSITION TO
PLAINTIFFS' MOTION FOR
PRELIMINARY INJUNCTION**

Date: October 10, 2012
Time: 9:00 a.m.
Courtroom: 3
Judge: Hon. Phyllis J. Hamilton
Action Filed: July 18, 2012

TABLE OF CONTENTS

	Page
INTRODUCTION	1
BACKGROUND	2
I. The Shark Fin Prohibition.....	2
ARGUMENT	3
I. Legal Standard	3
II. Plaintiffs Have Failed To Meet Their Burden of Demonstrating a Likelihood of Success on the Merits.....	4
A. Governor Brown and Attorney General Harris Are Immune From Suit Pursuant to the Eleventh Amendment	4
B. Plaintiffs’ Facial Challenge Fails	7
C. The Shark Fin Prohibition Does Not Violate the Equal Protection Clause.....	8
1. The Shark Fin Prohibition is facially neutral and plaintiffs have not demonstrated discriminatory purpose.....	8
2. The Shark Fin Prohibition is rationally related to a legitimate governmental purpose	11
D. The Shark Fin Prohibition Does Not Violate the Commerce Clause.....	14
1. The Shark Fin Prohibition does not discriminate against interstate commerce nor does it regulate extraterritorially	15
2. The Shark Fin Prohibition serves a legitimate local purpose and its benefits outweigh any burden on interstate commerce	16
E. The Shark Fin Prohibition is not Preempted by Federal law	18
III. Plaintiffs Have Failed to Demonstrate Irreparable Injury.....	21
IV. The Balance of Hardships and Public Interest Tip in Favor of Denying Relief.....	23
CONCLUSION	24

TABLE OF AUTHORITIES

Page

CASES

<i>Agua Caliente Band of Cahuilla Indians v. Hardin</i> 223 F.3d 1041 (9th Cir. 2000).....	5
<i>Alliance for the Wild Rockies v. Cottrell</i> 632 F.3d 1127 (9th Cir. 2011).....	4
<i>Brown-Forman Distillers Corp. v. N.Y. State Liquor Auth.</i> 476 U.S. 573 (1986).....	15
<i>C & A Carbone, Inc. v. Town of Clarkstown, N.Y.</i> 511 U.S. 383 (1994).....	14, 16
<i>Chemical Specialties Mfrs. Ass’n, Inc. v. Allenby</i> 958 F.2d 941 (9th Cir. 1992).....	7, 19
<i>Christian Legal Soc’y v. Wu</i> 626 F.3d 483 (9th Cir. 2010).....	18
<i>Coalition for Econ. Equity v. Wilson</i> 122 F.3d 718 (9th Cir. 1997).....	4
<i>Coll. Sav. Bank v. Florida Prepaid Postsecondary Educ. Expense Bd.</i> 527 U.S. 666 (1999).....	5
<i>Colorado River Indian Tribes v. Town of Parker</i> 776 F.2d 846 (9th Cir. 1985).....	22
<i>Cramer v. Brown</i> No. CV-3130-JFW (C.D. Cal. September 12, 2012).....	16
<i>CTS Corp. v. Dynamics Corp. of America</i> 481 U.S. 69 (1987).....	17
<i>Cupolo v. Bay Area Rapid Transit</i> 5 F. Supp. 2d 1078 (N.D. Cal. 1997).....	4
<i>Dandridge v. Williams</i> 397 U.S. 471 (1970).....	13, 14
<i>DISH Network Corp. v. FCC</i> 653 F.3d 771 (9th Cir. 2011).....	4

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
<i>Doe v. Lawrence Livermore Nat'l Lab.</i> 131 F.3d 836 (9th Cir. 1997).....	4
<i>Ex parte Young</i> 209 U.S. 123 (1908).....	5, 6
<i>Exxon Corp. v. Governor of Maryland</i> 437 U.S. 117 (1978).....	16
<i>FCC v. Beach Communications Inc.</i> 508 U.S. 307 (1993).....	13
<i>Fed. Trade Comm'n v. Affordable Media, LLC</i> 179 F.3d 1228 (9th Cir. 1999).....	23
<i>Florida Lime & Avocado Growers, Inc. v. Paul</i> 373 U.S. 132 (1963).....	19, 20
<i>Gerling Global Reinsurance Corp. of Am. v. Low</i> 240 F.3d 739 (9th Cir. 2001).....	15
<i>Goldie's Bookstore, Inc. v. Superior Ct.</i> 739 F.2d 466 (9th Cir. 1984).....	22
<i>Healy v. Beer Inst.</i> 491 U.S. 324 (1989).....	14, 15
<i>Heller v. Doe</i> 509 U.S. 312 (1993).....	11, 12
<i>Henkell v. U.S.</i> No. S-96-2228, 1998 WL 41565 (E.D. Cal. Jan. 9, 1998).....	22
<i>Hispanic Taco Vendors of Washington v. City of Pasco</i> 994 F.2d 676 (9th Cir. 1993).....	10, 11, 14, 22
<i>Hotel Employees and Restaurant Employees Intern. Union v. Nevada</i> 984 F.2d 1507 (9th Cir. 1993).....	7
<i>Illinois Rest. Ass'n v. City of Chicago</i> 492 F. Supp. 2d 891,904 (N.D. Ill. 2007)	16
<i>Jones v. Rath Packing Co.</i> 430 U.S. 519 (1977).....	19

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
<i>Lee v. City of Los Angeles</i> 250 F.3d 668 (9th Cir. 2001).....	11
<i>Long v. Van de Kamp</i> 961 F.2d 151 (9th Cir. 1992).....	5, 6, 7
<i>Los Angeles Branch NAACP v. Los Angeles Unified Sch. Dist.</i> 714 F.2d 946 (9th Cir. 1983).....	5
<i>Los Angeles Cnty. Bar Ass’n v. Eu</i> 979 F.2d 697 (9th Cir. 1992).....	5
<i>Los Angeles Mem’l Coliseum Comm’n v. National Football League</i> 634 F.2d 1197 (9th Cir. 1980).....	22
<i>Maine v. Taylor</i> 477 U.S. 131 (1986).....	15
<i>Maryland v. King</i> No. 12A48, 2012 WL 3064878 (U.S. July 30, 2012)	23
<i>Merrifield v. Lockyer</i> 547 F.3d 978 (9th Cir. 2008).....	12, 18
<i>Mourning v. Family Publ’n Serv., Inc.</i> 411 U.S. 356 (1973).....	13
<i>N.A.A.C.P. v. Jones</i> 131 F.3d 1317 (9th Cir. 1997).....	8
<i>Nat’l Audubon Soc’y, Inc. v. Davis</i> 307 F.3d 835 (9th Cir. 2002).....	6
<i>Nat’l Meat Ass’n v. Harris</i> 132 S. Ct. 965 (2012).....	21
<i>National Optometrists & Opticians v. Harris</i> 682 F. 3d 1144 (9th Cir. 2012).....	16, 18
<i>National Paint Coatings Ass’n v. City of Chicago</i> 43 F.3d 1124 (7th Cir. 1995).....	16
<i>New Orleans v. Dukes</i> 427 U.S. 297 (1976)	13

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
<i>Oakland Tribune, Inc. v. Chronicle Pub. Co., Inc.</i> 762 F.2d 1374 (9th Cir. 1985).....	23
<i>Pacific Gas & Electric Co. v. State Energy Resources Cons. and Dev. Comm.</i> 461 U.S. 190 (1983).....	19
<i>Pacific Nw. Venison Producers v. Smitch</i> 20 F.3d 1008 (9th Cir. 1994).....	12, 16, 17, 18
<i>Pennhurst State Sch. & Hosp. v. Halderman</i> 465 U.S. 89 (1984).....	5
<i>People v. Weeren</i> 26 Cal.3d 654 (1980)	20
<i>Personnel Administrator of Massachusetts v. Feeney</i> 442 U.S. 256 (1979).....	9
<i>Pharmaceutical Research and Mfrs. of America v. Walsh</i> 538 U.S. 644 (2003).....	19
<i>Pike v. Bruce Church, Inc.</i> 397 U.S. 137 (1970).....	15, 16
<i>Rent-A-Center, Inc. v. Canyon Television & Appliance Rental, Inc.</i> 944 F.2d 597 (9th Cir. 1991).....	22
<i>Retail Clerks Int’l Ass’n v. Schermerhorn</i> 375 U.S. 96 (1963).....	19
<i>Reynolds v. Buchholzer</i> 87 F.3d 827 (6th Cir. 1996).....	17
<i>Rice v. Santa Fe Elevator Corp.</i> 331 U.S. 218 (1947).....	19
<i>Sampson v. Murray</i> 415 U.S. 61 (1974).....	22
<i>Save Our Sonoran, Inc. v. Flowers</i> 408 F.3d 1113 (9th Cir. 2005).....	23
<i>Schweiker v. Wilson</i> 450 U.S. 221 (1981).....	14

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
<i>Shaw v. Reno</i> 509 U.S. 630 (1993).....	8
<i>Snoeck v. Brussa</i> 153 F.3d 984 (9th Cir. 1998).....	7
<i>Ting v. AT&T</i> 319 F.3d 1126 (9th Cir. 2003).....	20, 21
<i>U.S. v. Cameron</i> 888 F.2d 1279 (9th Cir. 1989).....	18
<i>UFO Chuting of Hawaii, Inc. v. Smith</i> 508 F.3d 1189 (9th Cir. 2007).....	17, 18
<i>United States v. Approximately 64,695 Pounds of Shark Fins</i> 520 F.3d 976 (9th Cir. 2008).....	21
<i>United States v. Carolene Products Co.</i> 304 U.S. 144 (1938).....	11, 14
<i>United States v. McDougall</i> 25 F.Supp.2d 85 (N.D.N.Y 1998)	18
<i>United States v. Raines</i> 362 U.S. 17 (1960).....	8
<i>United States v. Salerno</i> 481 U.S. 739 (1987).....	7, 8
<i>Village of Arlington Heights v. Metropolitan Housing Development Corp.</i> 429 U.S. 252 (1977).....	8, 9, 10
<i>Washington State Grange v. Washington State Republican Party</i> 552 U.S. 442 (2008).....	7, 8, 20
<i>Washington v. Davis</i> 426 U.S. 229 (1976).....	8, 9, 11
<i>Weinstein v. Edgar</i> 826 F. Supp. 1165 (N.D. Ill. 1993)	6
<i>Williamson v. Mazda</i> 131 S. Ct. 1131 (2011).....	21

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
<i>Winter v. Natural Res. Def. Council, Inc.</i> 555 U.S. 7 (2008)	3, 4, 14
<i>Yee v. City of Escondido</i> 503 U.S. 519 (1992)	7
<i>Yick Wo v. Hopkins</i> 118 U.S. 356 (1886)	9
 STATUTES	
16 U.S.C.	
§§ 1801-1882	17, 20
§§ 1801-1884	18
§ 1802	20
§ 1811(a)	20
§§ 1856(a)(1) & (3)	20
§ 1857 (1)(G)	20
§ 3372	18
42 U.S.C.	
§ 1983	3, 4, 7
California Fish and Game Code	
§ 1002	3
§ 2021	2
§ 2021.5	3
§§ 2021 and 2021.5	passim
§ 2021, subdivision (b)	2
Code of Federal Regulations	
§ 1204(e)(1)	21
§ 600.1201(c)	19, 21
§ 600.1203(a)(5)	21
Magnuson Stevens Act	18, 19, 20
Shark Finning Prohibition Act of 2000 and the Shark Conservation Act of 2010	18
Stats. 2011, ch. 524 (A.B. 376)	
§ 1(c)	2
§ 1(d)	2
§ 1(g)	2

TABLE OF AUTHORITIES
(continued)

	<u>Page</u>
CONSTITUTIONAL PROVISIONS	
United States Constitution	
Article I, § 8, cl. 3	14
Eleventh Amendment	1, 4, 5, 6
California Constitution	
Article V, §§ 1, 13	6
COURT RULES	
Federal Rules of Evidence	
401-402, 602, and 701	13
401-402 and 702	13
401-403	13
402, 602, 701	10
N.D. Local Rule 7-4 (a)(5)	18

INTRODUCTION

California Fish and Game Code sections 2021 and 2021.5 outlaw the possession, sale and trade of shark fins in California. Plaintiffs now ask this court to enjoin this important legislation, which seeks to end the devastating practice of shark finning and thereby promote shark conservation and the consequent health of the marine ecosystem. Defendants Governor Edmund G. Brown Jr., Attorney General Kamala D. Harris, and Fish and Game Department Director Charlton H. Bonham (“Defendants”) respectfully file this opposition to plaintiffs Chinatown Neighborhood Association and Asian Americans for Political Advancement’s (“Plaintiffs”) motion for a preliminary injunction. Plaintiffs’ motion is both procedurally defective and substantively meritless and thus must fail. As an initial matter, defendants the Governor of California and the Attorney General cannot be enjoined, and indeed should be dismissed from this action, because they are immune from suit under the Eleventh Amendment to the United States Constitution.

Furthermore, Plaintiffs cannot meet their burden to show a likelihood of success on the merits. Plaintiffs maintain that the State’s prohibition on shark fins is facially invalid. Specifically, Plaintiffs allege that because sections 2021 and 2021.5 “target” the cultural practices of a small fraction of Chinese Americans and make it more difficult for some merchants who wish to continue engaging in the lucrative shark fin trade to do so, these statutes violate the Equal Protection, Commerce, and Supremacy Clauses of the U.S. Constitution. However, Plaintiffs have not demonstrated that the Equal Protection, Commerce, and Supremacy Clauses are even implicated by the challenged legislation, let alone violated by it. Sections 2021 and 2021.5 are facially neutral, do not discriminate on the basis of race or against interstate commerce, and are rationally related to a legitimate governmental interest in protecting health, safety and the environment. Accordingly, Plaintiffs cannot prevail on their Equal Protection and Commerce Clause claims. With respect to the Supremacy Clause, Plaintiffs have not identified a single basis, and there is none, for finding that sections 2021 and 2021.5 are preempted by federal legislation.

Plaintiffs also fail to establish any cognizable injury that they will suffer in the absence of

injunctive relief. By contrast, the harm to the public interest and to the health of our oceans if a preliminary injunction were issued would be severe. Prior to the enactment of sections 2021 and 2021.5, California was the largest U.S. market for shark fins. This demand helped drive the practice of finning, which causes the death of tens of millions of sharks every year, and the decline of shark populations. The decline of sharks, in turn, poses a serious threat to the ocean ecosystem and biodiversity, and causes harmful cascade effects, such as “red tides” that threaten the health and the economies of coastal regions worldwide. Plaintiffs have not demonstrated that any effect caused by the prohibition on shark fins in California outweighs the grave series of consequences to the environment and public health caused by finning. Accordingly, the law, the balance of equities, and the public interest all dictate that Plaintiffs’ motion for a preliminary injunction be denied.

BACKGROUND

I. THE SHARK FIN PROHIBITION

The California Legislature has determined that the practice of shark finning, where a shark is caught, its fins cut off, and the carcass is dumped back into the water, causes tens of millions of sharks to die each year. Stats. 2011, ch. 524 (A.B. 376), §1(d).¹ Sharks occupy the top of the marine food chain and their decline constitutes a serious threat to the ocean ecosystem and biodiversity. *Id.*, §1(c). The Legislature also found that shark fin often contains high amounts of mercury, which has been proven dangerous to people’s health. *Id.*, §1(g). In order to address these problems and promote the conservation of sharks by, inter alia, eliminating the California market for fins, California enacted Assembly Bills 376 and 853, codified as Fish and Game Code sections 2021 and 2021.5 (collectively, the “Shark Fin Prohibition”).

Fish and Game Code section 2021 makes it “unlawful for any person to possess, sell, offer for trade, trade, or distribute a shark fin.” Fish & Game Code § 2021, subd. (b). Section 2021 enumerates three exceptions to this prohibition: (1) any person who holds a license or permit

¹ For the Court’s convenience, copies of Fish and Game Code sections 2021 and 2021.5, AB 376 (2011), and AB 853 (2011) are attached to the Declaration of Alexandra Robert Gordon at Exhibits L through O.

pursuant to Fish and Game Code section 1002 for scientific or educational purposes may possess a shark fin or fins consistent with that license or permit; (2) any person who holds a license or permit issued by the department to take or land sharks for recreational or commercial purposes may possess a shark fin or fins consistent with that license or permit; and (3) before January 1, 2013, any restaurant may possess, sell, offer for sale, trade, or distribute a shark fin possessed by that restaurant, as of January 1, 2012, that is prepared for consumption. *Id.*, §§ 2021, subds. (c), (d) & (e).

Section 2021.5 includes additional exemptions and delays the implementation of the Shark Fin Prohibition until July 2013. Specifically, section 2021.5 provides that “[b]efore July 1, 2013, any person may possess, sell, offer for sale, trade, or distribute a shark fin possessed by that person, as of January 1, 2012.” *Id.*, § 2021.5, subd. (a)(3). The Shark Fin Prohibition was signed into law on October 7, 2011, and became effective on January 1, 2012.

II. PROCEDURAL HISTORY

On July 18, 2012, Plaintiffs filed this action for declaratory and injunctive relief. Plaintiff Chinatown Neighborhood Association, a nonprofit corporation/voluntary association, and Plaintiff Asian Americans for Political Advancement, a political action committee, are comprised of members who are “people of Chinese origin who are engaged in cultural practices involving the use of shark fins and in business practices involving the buying and selling of shark fins in interstate commerce.” Complaint, ¶¶ 6&7. Plaintiffs allege that the Shark Fin Prohibition violates the Equal Protection Clause, the Commerce Clause, and the Supremacy Clause of the U.S. Constitution, as well as 42 U.S.C. § 1983. *Id.*, ¶ 1. Plaintiffs filed the instant motion for a preliminary injunction on August 9, 2012.

ARGUMENT

I. LEGAL STANDARD

In order to prevail on a motion for a preliminary injunction, “a plaintiff must show (1) a strong likelihood of success on the merits, (2) the possibility of irreparable injury to plaintiff if preliminary relief is not granted, (3) a balance of hardships favoring the plaintiff, and (4) that an injunction is in the public interest.” *Winter v. Natural Res. Def. Council, Inc.*, 555 U.S. 7, 20

(2008). Alternatively, “[a] preliminary injunction is appropriate when a plaintiff demonstrates that serious questions going to the merits were raised and the balance of hardships tips sharply in the plaintiff’s favor.” *Alliance for the Wild Rockies v. Cottrell*, 632 F.3d 1127, 1134-35 (9th Cir. 2011) (internal citation omitted). Nonetheless, Plaintiffs must make a showing of all four *Winter* factors even under the alternative sliding scale test. *Id.* at 1132, 1135.

“A preliminary injunction is an extraordinary remedy never awarded as a matter of right. In each case, courts must balance the competing claims of injury and must consider the effect on each party of the granting or withholding of the requested relief. In exercising their sound discretion, courts of equity should pay particular regard for the public consequences in employing the extraordinary remedy of injunction.” *Winter*, 555 U.S. at 24 (internal quotations and citations omitted); *see also DISH Network Corp. v. FCC*, 653 F.3d 771, 776-77 (9th Cir. 2011). Because a preliminary injunction is an extraordinary remedy, the moving party must establish the elements necessary to obtain injunctive relief by a “clear showing.” *Winter*, 555 U.S. at 22. Plaintiffs’ burden is particularly heavy when they seek to enjoin operation of a statute because “it is clear that a state suffers irreparable injury whenever an enactment of its people or their representatives is enjoined.” *Coalition for Econ. Equity v. Wilson*, 122 F.3d 718, 719 (9th Cir. 1997). “A strong factual record is therefore necessary before a federal district court may enjoin a State agency.” *Cupolo v. Bay Area Rapid Transit*, 5 F. Supp. 2d 1078, 1085 (N.D. Cal. 1997). In this case, Plaintiffs cannot meet their burden and the motion for a preliminary injunction should be denied.

II. PLAINTIFFS HAVE FAILED TO MEET THEIR BURDEN OF DEMONSTRATING A LIKELIHOOD OF SUCCESS ON THE MERITS

A. Governor Brown and Attorney General Harris Are Immune From Suit Pursuant to the Eleventh Amendment

All of Plaintiffs’ claims against the Governor and the Attorney General fail, as these defendants are immune from suit. Plaintiffs allege that their case arises under the Constitution of the United States and 42 U.S.C. § 1983. Complaint, ¶ 1. “Claims under § 1983 are limited by the scope of the Eleventh Amendment.” *Doe v. Lawrence Livermore Nat’l Lab.*, 131 F.3d 836, 839 (9th Cir. 1997). The Eleventh Amendment bars suits against a state for all types of legal or equitable relief in the absence of consent by the state or abrogation of that immunity by Congress.

1 *Coll. Sav. Bank v. Florida Prepaid Postsecondary Educ. Expense Bd.*, 527 U.S. 666, 670 (1999);
 2 *Pennhurst State Sch. & Hosp. v. Halderman*, 465 U.S. 89, 100 (1984) (citations omitted). The
 3 Eleventh Amendment [also] bars a suit against state officials when ‘the state is the real,
 4 substantial party in interest.’” *Pennhurst State Sch. & Hosp.*, 465 U.S. at 101 (citation omitted).
 5 The “‘general rule is that relief sought nominally against an officer is in fact against the sovereign
 6 if the decree would operate against the latter.’” *Id.* (quoting *Hawaii v. Gordon*, 373 U.S. 57, 58
 7 (1963) (per curiam)). “And, as when the State itself is named as the defendant, a suit against state
 8 officials that is in fact a suit against a State is barred regardless of whether it seeks damages or
 9 injunctive relief.” *Id.* at 101-02.

10 The Supreme Court recognized a limited exception to Eleventh Amendment immunity in
 11 *Ex parte Young*, 209 U.S. 123 (1908). The *Ex parte Young* exception allows “suits for
 12 prospective declaratory and injunctive relief against state officers, sued in their official capacities,
 13 to enjoin an alleged ongoing violation of federal law.” *Agua Caliente Band of Cahuilla Indians v.*
 14 *Hardin*, 223 F.3d 1041, 1045 (9th Cir. 2000). For the *Ex parte Young* exception to apply,
 15 however, the state officer must have a connection with the enforcement of the allegedly
 16 unconstitutional statute. *Long v. Van de Kamp*, 961 F.2d 151, 152 (9th Cir. 1992). “This
 17 connection must be fairly direct; a generalized duty to enforce state law or general supervisory
 18 power over the persons responsible for enforcing the challenged provision will not subject an
 19 official to suit.” *Los Angeles Cnty. Bar Ass’n v. Eu*, 979 F.2d 697, 704 (9th Cir. 1992) (citing
 20 *Long*, 961 F.2d at 152; *Los Angeles Branch NAACP v. Los Angeles Unified Sch. Dist.*, 714 F.2d
 21 946, 953 (9th Cir. 1983)). Further, “there must be a threat of enforcement.” *Long*, 961 F.2d at
 22 152. “Absent a real likelihood that the state official will employ his supervisory powers against
 23 plaintiffs’ interests, the Eleventh Amendment bars federal court jurisdiction.” *Id.*

24 The Legislature did not give the Governor or the Attorney General any special role in
 25 administering or enforcing the Shark Fin Prohibition. Perhaps for this reason, the Complaint
 26 contains no allegations and Plaintiffs have submitted no evidence establishing the Governor’s or
 27 the Attorney General’s connection, direct or otherwise, to the enforcement of the Shark Fin
 28 Prohibition. Rather, the one paragraph of the Complaint that specifically mentions the Governor

1 and the Attorney General states only that they, along with Director Bonham, “enforce the Fish
 2 and Game Code.” Complaint, ¶ 8. However, the Governor and the Attorney General do not have
 3 “direct authority or principal responsibility” for enforcing either the Fish and Game Code
 4 generally or the Shark Fin Prohibition in particular. *Nat’l Audubon Soc’y, Inc. v. Davis*, 307 F.3d
 5 835, 847, *opinion amended on denial of reh’g*, 312 F.3d 416 (9th Cir. 2002). Thus, while the
 6 Complaint acknowledges that the Shark Fin Prohibition provides for criminal enforcement of its
 7 provisions, *see* Complaint, ¶¶ 16-17, there is no suggestion that either the Governor or the
 8 Attorney General would be directly responsible for or even involved in such enforcement.²

9 To the extent that the Governor and the Attorney General are named as defendants based
 10 upon their general supervisory powers or duties to enforce state law, *see* Cal. Const., art. V, §§ 1,
 11 13, this does not establish a sufficient connection with enforcement to satisfy *Ex Parte Young*.
 12 *See Nat’l Audubon Soc’y, Inc. v. Davis*, 307 F.3d at 847 (holding suit was “barred against the
 13 Governor and the state Secretary of Resources, as there is no showing that they have the requisite
 14 enforcement connection to Proposition 4”); *Long*, 961 F.2d at 152 (“the general supervisory
 15 powers of the California Attorney General” did not establish the connection with enforcement
 16 required by *Ex parte Young*); *see also Weinstein v. Edgar*, 826 F. Supp. 1165, 1167 (N.D. Ill.
 17 1993) (stating that if Governor’s general obligation to faithfully execute the laws was a sufficient
 18 connection to the enforcement of challenged statute, “then the constitutionality of every statute
 19 enacted by the Illinois legislature necessarily could be challenged by merely naming the Governor
 20 as a party defendant”). Accordingly, because there is neither the requisite connection between
 21 either the Governor or the Attorney General and the enforcement of the Shark Fin Prohibition nor
 22 a “real likelihood” that these defendants will “employ [their] supervisory powers against
 23 plaintiffs’ interests,” Plaintiffs’ claims against these defendants are barred by the Eleventh
 24

25 ² With respect to enforcement, the Complaint states only that the Defendants “enforce the
 26 Fish and Game Code” and that the Shark Fin Prohibition, “if enforced against Plaintiffs’ members
 27 would” violate their constitutional rights. Complaint, ¶¶ 2, 8. There is no allegation that any
 28 defendant, let alone a particular defendant, has actually threatened to enforce the Shark Fin
 Prohibition.

1 Amendment. *Long*, 961 F.2d at 152; *see also Snoeck v. Brussa*, 153 F.3d 984, 987 (9th Cir.
2 1998).

3 **B. Plaintiffs' Facial Challenge Fails**

4 Plaintiffs bring a facial challenge to the Shark Fin Prohibition on the grounds that it violates
5 the Equal Protection, Commerce, and Supremacy Clauses of the United States Constitution as
6 well as 42 U.S.C. § 1983.³ In order to succeed on a facial challenge, Plaintiffs “must establish
7 that no set of circumstances exists under which the [regulation or statute] would be valid.”
8 *United States v. Salerno*, 481 U.S. 739, 745 (1987); *see also Chemical Specialties Mfrs. Ass’n,*
9 *Inc. v. Allenby*, 958 F.2d 941, 943 (9th Cir. 1992). To support a finding of facial
10 unconstitutionality, voiding a statute or regulation as a whole, Plaintiffs cannot prevail by
11 suggesting that in some future hypothetical situation constitutional problems may possibly arise
12 as to the particular *application* of the statute. Rather, they must show that the statute is
13 unconstitutional in *all* of its applications. *See Washington State Grange v. Washington State*
14 *Republican Party*, 552 U.S. 442, 450 (2008). Where, as here, a statute has a “plainly legitimate
15 sweep,” a facial challenge must fail. *Id.* at 449 (citation and internal quotations omitted).

16 Facial challenges to validly enacted statutes are disfavored because they rest on speculation,
17 run contrary to the principle of judicial restraint that courts should not “anticipate” questions of
18 constitutional law in advance of the necessity of deciding one, and “threaten to short circuit the
19 democratic process by preventing laws embodying the will of the people from being implemented
20 in a manner consistent with the Constitution.” *Id.* at 450-51. “In determining whether a law is
21 facially invalid, we must be careful not to go beyond the statute’s facial requirements and
22

23 ³ The nature of Plaintiffs’ challenge, be it facial or as applied, to the Shark Fin Prohibition
24 is not entirely clear from the face of the Complaint, insofar as Plaintiffs make some allegations
25 regarding the prospective effects that the law purportedly will have on them. *See, e.g.,*
26 Complaint, ¶¶ 8, 17, 25. However, given that there are no allegations that the Prohibition has
27 been applied to Plaintiffs, and in fact, it has not been, only a facial challenge is proper at this
28 juncture. Because the Shark Fin Prohibition has not yet been enforced, and does not take full
effect until July 1, 2013, an as applied challenge would not be ripe. *See, e.g., Yee v. City of*
Escondido, 503 U.S. 519, 533-34 (1992); *Hotel Employees and Restaurant Employees Intern.*
Union v. Nevada, 984 F.2d 1507, 1517 (9th Cir. 1993).

speculate about ‘hypothetical’ or ‘imaginary’ cases.” *Id.* at 449-50 (quoting *United States v. Raines*, 362 U.S. 17, 22 (1960)). Here, Plaintiffs have not met the “heavy burden” to show that the Shark Fin Prohibition facially violates the Equal Protection Clause, the Commerce Clause, or the Supremacy Clause. *United States v. Salerno*, 481 U.S. at 74 5. Indeed, Plaintiffs’ evidence does not establish that the Shark Fin Prohibition violates these clauses under *any* circumstance, let alone *every* circumstance. *See id.*

C. The Shark Fin Prohibition Does Not Violate the Equal Protection Clause

Plaintiffs allege that the Shark Fin Prohibition facially discriminates against people of Chinese national origin in violation of the Equal Protection Clause. Complaint, ¶¶ 29-34. The essence of Plaintiffs’ equal protection claim is that the Legislature’s stated goal of protecting the marine ecosystem by promoting the conservation of the declining shark population is pretextual, and that the true purpose and effect of the Shark Fin Prohibition is to “target” ancient Chinese cultural practices. *See* Plaintiffs’ Brief, 15-18. This argument, which finds no support in either fact or law, fails and thus, Plaintiffs are not likely to prevail on their equal protection claim.

1. The Shark Fin Prohibition is facially neutral and plaintiffs have not demonstrated discriminatory purpose

As an initial matter, Plaintiffs are incorrect that strict scrutiny automatically applies to their challenge to the Shark Fin Prohibition merely because they have alleged a disproportionate effect on (some) Chinese Americans. *See* Complaint, ¶ 30, Plaintiffs’ Brief, 15: 11-14. Strict scrutiny is reserved for laws that facially discriminate on the basis of race. *See, e.g., Shaw v. Reno*, 509 U.S. 630, 642 (1993); *N.A.A.C.P. v. Jones*, 131 F.3d 1317, 1321 (9th Cir. 1997). Where, as here, a statute is facially neutral, Plaintiffs must prove that the intent and purpose of the law was to discriminate against an identifiable class of persons. *See Washington v. Davis*, 426 U.S. 229, 239 (1976) (“Standing alone [disparate impact] does not trigger the rule that racial classifications are to be subjected to the strictest scrutiny and are justifiable only by the weightiest of considerations”); *Village of Arlington Heights v. Metropolitan Housing Development Corp.*, 429 U.S. 252, 265 (1977). Plaintiffs cannot make this showing.

Plaintiffs’ main evidence of the discriminatory purpose underlying the Shark Fin

Prohibition is that it “almost solely affects people of Chinese national origin as the Chinese are the only group that utilizes shark fins.” Plaintiffs’ Brief, 16:1-3. Even assuming, despite the lack of evidentiary support for it in the record, that this statement is true, it is not enough to show only that a law has a disparate impact on an identifiable group. *Arlington Heights*, 429 U.S. at 264-65. Rather, Plaintiffs must show that the law was enacted “‘because of,’ not merely ‘in spite of’” its disparate impact on that group. *Personnel Administrator of Massachusetts v. Feeney*, 442 U.S. 256, 272 (1979). The Supreme Court has made clear that “a law, neutral on its face and serving ends otherwise within the power of government to pursue, is [not] invalid under the Equal Protection Clause simply because it may affect a greater proportion of one race than of another. Disproportionate impact is not irrelevant, but it is not the sole touchstone of an invidious racial discrimination forbidden by the Constitution.” *Washington v. Davis*, 426 U.S. at 239.

This is not, as Plaintiffs suggest, the “rare case” where Plaintiffs are excused from providing proof of discriminatory purpose because a “clear pattern unexplainable on grounds other than race, emerges from the effect of the state action.” *Arlington Heights*, 429 U.S. at 266.⁴ To the contrary, despite Plaintiffs’ repeated assertions of the centrality of shark fins to Chinese culture, the Shark Fin Prohibition does not affect Chinese or Chinese-Americans as a group. Indeed, Plaintiffs’ own evidence suggests that a mere three percent of Chinese Americans eat shark fins regularly and approximately half of Chinese Americans *support* the Shark Fin Prohibition. *See* Declaration of Joseph M. Breall (“Breall Decl.”), Exh. C; *see also* Declaration of Alexandra Robert Gordon (“Gordon Decl.”), Exh A (2011 survey finding that 70 percent of Chinese-American voters in California support legislation making it illegal to sell or distribute shark fins). Notably, one of the Shark Fin Prohibition’s co-authors, Assemblyman Paul Fong, is

⁴ In support of their equal protection argument, Plaintiffs cite to *Yick Wo v. Hopkins*, 118 U.S. 356 (1886), a case that is entirely distinguishable. In *Yick Wo*, San Francisco passed an ordinance that made it unlawful for any person to maintain a laundry in wooden structures and then issued variances to almost every white applicant while denying variances to every Chinese applicant. *Id.* at 368, 373. The Supreme Court held that such a stark pattern of disparate enforcement established a denial of equal protection. *Id.* at 374. The Supreme Court subsequently stated that “such cases are rare” and that “[a]bsent a pattern as stark as that in ... *Yick Wo*, impact alone is not determinative, and the Court must look to other evidence.” *Arlington Heights*, 429 U.S. at 266.

Chinese American and the Shark Fin Prohibition was sponsored by at least one group of Asian Americans who believe that shark finning is counter to Asian values. *See* Breall Decl., Exhs. A, C & D; Gordon Decl., Exhs. B, C & D. In recognition of the need to stop the practice of finning and promote shark conservation, the Chinese government recently has banned serving shark fins at official functions. *See* Gordon Decl., Exh E. While shark fin may be an “ancient,” “important,” and “key traditional dish” in Chinese culture, its use is not uniformly, let alone immutably, tied to being Chinese. Accordingly, the impact of the Shark Fin Prohibition does not support a finding of intentional discrimination. *See Hispanic Taco Vendors of Washington v. City of Pasco*, 994 F.2d 676, 679 (9th Cir. 1993).

Plaintiffs also fail to provide any other meaningful evidence to support their claim that the Shark Fin Prohibition was motivated by racial discrimination. *See Arlington Heights*, 429 U.S. at 266-67 (setting forth permissible evidence of discriminatory intent, such as a law’s historical background or irregularities in its passage). As noted above, the Shark Fin Prohibition is based on legislative findings that: (1) sharks occupy the top of the marine food chain and their decline constitutes a serious threat to the ocean ecosystem and biodiversity; (2) the practice of shark finning causes tens of millions of sharks to die each year; and (3) by eliminating an important end market, and thereby impacting the demand for shark fins, California can help ensure that sharks do not become extinct. *See* Stats. 2011, ch. 524 (A.B. 376), §1. Against this clear expression of the Legislature’s intent to promote shark conservation and consequently to protect the health of our oceans, Plaintiffs offer only a few out-of-context statements by Assemblyman Fong, Assemblyman Huffman, the Executive Director of WildAid, and an unidentified “prominent San Francisco chef.” Plaintiffs’ Brief, 5-6, 16.⁵

None of Plaintiffs’ proffered statements suggests that the Legislature’s stated purpose is “false” or indicates any invidious racial intent. Assemblyman Fong’s statement, which includes a comparison to the practice of foot binding, appears to reflect a belief that where cultural practices

⁵ Plaintiffs also submit declarations from their members opining that the Shark Fin Prohibition is “racist” and discriminatory. *See, e.g.*, Declaration of Pius Lee. These statements lack foundation and are improper legal conclusions and should be disregarded. Fed. R. Evid. 402, 602, 701.

are injurious they should and often do evolve. *See* Breall Decl., Exhs. A, B & E. Assemblyman Huffman’s remark that the Shark Fin Prohibition, in order to “[t]o save [sharks] from extinction, targets the demand for these shark fins by banning their sale and possession here in California” is not an expression of racial animus. Rather, the statement simply describes the purpose of the Shark Fin Prohibition, namely, to lessen the demand that drives finning by eliminating an important end market for shark fins. *See* Breall Decl., Exh. F; Stats. 2011, ch. 524 (A.B. 376), §1. Moreover, regardless of how one interprets these remarks, the “legitimate purposes” of the Shark Fin Prohibition are “not open to impeachment by evidence that [a legislator was] actually motivated by racial considerations.” *Washington v. Davis*, *Washington v. Davis*, 426 U.S. at 243 (citation omitted); *see also Hispanic Taco Vendors*, 994 F.2d at 680 (Where the government’s “explanation of the ordinance is neutral and legitimate, the court “will not impute discriminatory motives to the [Legislature] without any supporting evidence”). Plaintiffs have thus failed to prove that the Shark Fin Prohibition was motivated by a discriminatory purpose.

2. The Shark Fin Prohibition is rationally related to a legitimate governmental purpose

Because it is not facially discriminatory and Plaintiffs have failed to prove discriminatory intent, the Shark Fin Prohibition is subject only to rational basis review. *See Lee v. City of Los Angeles*, 250 F.3d 668, 686-87 (9th Cir. 2001). Challenged legislation survives rational basis review as long as, in enacting legislation, the legislature is acting in pursuit of a permissible government interest that bears a rational relationship to the means chosen to achieve that interest. *Heller v. Doe*, 509 U.S. 312, 319 (1993). Pursuant to rational basis review, when legislative judgment is called into question on equal protection grounds and the issue is debatable, the decision of the legislature must be upheld if “any state of facts either known or which could reasonably be assumed affords support for it.” *United States v. Carolene Products Co.*, 304 U.S. 144, 154 (1938). The measure at issue “is not subject to courtroom factfinding and may be based on rational speculation unsupported by evidence or empirical data.” *Heller*, 509 U.S. at 319 (internal quotation marks omitted). “[T]he burden is on the one attacking the legislative arrangement to negative every conceivable basis which might support it....” *Id.* (internal

1 quotation marks omitted). Further, a legislature’s rationale may pass rational basis review “even
2 when there is an imperfect fit between means and ends.” *Id.* at 321. In sum, the measure need
3 only have “arguable” assumptions underlying its “plausible rationales” to survive constitutional
4 challenge. *Id.* at 333.

5 The Shark Fin Prohibition easily passes rational basis review. The purpose of the Shark Fin
6 Prohibition is to protect the marine ecosystem and biodiversity by promoting the conservation of
7 sharks, which, according to legislative findings is a “top predator” essential to the health of our
8 oceans. *See* Stats. 2011, ch. 524 (A.B. 376). The Legislature also found that shark fin often
9 contains high amounts of mercury, which has been proven dangerous to people’s health. *Id.* By
10 eliminating the California market for shark fins, the Legislature seeks to stop a practice that poses
11 a serious risk of harm, ranging from the over consumption of mercury-laden food to the
12 destruction of the ocean ecosystem. *Id.* The protection of public health, wildlife, and of the
13 ecosystem, is a legitimate state interest and/or exercise of police power. *Merrifield v. Lockyer*,
14 547 F.3d 978, 986 (9th Cir. 2008) (“the first aspect of the rational basis test is easily satisfied by
15 the government’s interests in public health and safety and consumer protection”); *Pacific Nw.*
16 *Venison Producers v. Smitch*, 20 F.3d 1008, 1013 (9th Cir. 1994) (the protection of wildlife and
17 other natural resources is “one of the state’s most important interests”). Prohibiting the
18 possession, sale, trade, and distribution of shark fins in California is rationally related to this
19 interest. There is considerable evidence that the practice of finning, which is widespread and
20 driven by demand for fins, plays a dominant role in causing shark population declines. *See*
21 Gordon Decl., Exhs. F, G, H, & I. Prior to the enactment of the Shark Fin Prohibition, California
22 accounted for approximately 87 percent of all U.S. shark fin imports and 96 percent of all exports.
23 *See id.*, Exh. J. The rationale that eliminating such an important market for shark fins would
24 decrease finning and promote shark conservation is more than “plausible.” *Heller*, 509 U.S. at
25 319.

26 Plaintiffs contend that the Shark Fin Prohibition does not actually further any objective
27 related to the protection of sharks because it has no practical effect on finning and shark
28 conservation. *See* Plaintiffs’ Brief, 7-8, 16-18. Plaintiffs argue both that Shark Fin Prohibition

1 does not do anything beyond what federal legislation already accomplishes and that it goes too far
 2 in that it is not narrowly tailored to achieve its purpose. *See id.* In support of these arguments,
 3 Plaintiffs proffer a variety of evidentiary support for, among other things, the propositions that: (1)
 4 existing legislation is sufficient to protect the shark population; (2) the Shark Fin Prohibition is
 5 unnecessary, as many species of sharks, such as spiny dogfish, are not in danger of becoming
 6 extinct; and (3) the practice of shark finning is “grossly overstated.” *See, e.g.* Declarations of Dr.
 7 John Whiteside, Jr., Dr. James Sulikowski, and John Bateman. Plaintiffs’ evidence is largely
 8 improper and highly subject to dispute.⁶ *See, e.g.*, Gordon Decl., Exhs. H, I & J. Moreover, and
 9 of greater significance, it is entirely legally irrelevant.

10 “[E]qual protection analysis is not a license for courts to judge the wisdom, fairness, or
 11 logic of legislative choices.” *FCC v. Beach Communications, Inc.*, 508 U.S. 307, 313 (1993).
 12 “The judiciary may not sit as a super legislature to judge the wisdom or desirability of legislative
 13 policy determinations made in areas that neither affect fundamental rights nor proceed along
 14 suspect lines....”). *New Orleans v. Dukes*, 427 U.S. 297, 303 (1976) (per curiam). Further,
 15 rational-basis review does not give courts the option to speculate as to whether some other
 16 scheme could have better regulated the evils in question. *Mourning v. Family Publ’n Serv., Inc.*,
 17 411 U.S. 356, 378, (1973). A statute cannot be invalidated on rational basis review simply
 18 because it may not succeed in bringing about the result it seeks to accomplish, or because its
 19 classifications lack razor-sharp precision. *See Dandridge v. Williams*, 397 U.S. 471, 485 (1970).
 20 Here, the Legislature, based on considerable scientific evidence, has determined both that there is
 21 a dangerous decline in the shark population due to finning, and that a prohibition on the

22
 23 ⁶ Pursuant to Federal Rules of Evidence 401-402, 602, and 701, Defendants object to the
 24 Declarations of John Bateman, Mike Flynn, John Hett, Donald Krebs, Pius Lee, and Mark
 25 Twinam as irrelevant, overbroad, lacking foundation, based on speculation, improper expert
 26 opinion from a lay witness, and containing legal conclusions. Pursuant to Federal Rules of
 27 Evidence 401-402 and 702, Defendants object the Declarations of Dr. Robert Hueter, Dr. Thomas
 28 In-Sing Leung, Dr. James Sulikowski, Dr. Vidar Wepestad, and Dr. John Whiteside, Jr. as
 irrelevant and inadmissible expert opinion, overbroad, lacking foundation and competence, and
 containing legal conclusions. Defendants object generally to all of the declarations filed by
 Plaintiffs in support of their motion in that they are irrelevant for the reasons articulated above.
 Fed. R. Evid. 401-403.

possession, trade, sale, and distribution of shark fins is an effective way to combat this problem. *See* Stats. 2011, ch. 524 (A.B. 376); Gordon Decl., Exh. K. Because the Shark Fin Prohibition “advances legitimate goals in a rational fashion,” Plaintiffs various attempts to second guess the Legislature regarding the vulnerability of sharks and our marine ecosystem, the necessity and efficacy of the Shark Fin Prohibition, and/or the purported superiority of less restrictive legislation must be disregarded. *Schweiker v. Wilson*, 450 U.S. 221, 234 (1981); *Carolene Products Co.*, 304 U.S. at 154.⁷

Ultimately, because the Shark Fin Prohibition neither discriminates on the basis of race nor is based on a discriminatory purpose and because it is rationally related to a legitimate governmental interest, Plaintiffs cannot meet their burden of showing a likelihood of success on the merits of the equal protection claim. *See Winter*, 555 U.S. at 20; *Hispanic Taco Vendors*, 994 F.2d at 679-81.

D. The Shark Fin Prohibition Does Not Violate the Commerce Clause

The Commerce Clause authorizes Congress to “regulate Commerce with foreign Nations, and among the several States” U.S. Const., art. I, § 8, cl. 3. The Commerce Clause includes an implied limitation on the states’ authority to adopt legislation that affects commerce. This implied limitation is often referred to as the negative or dormant Commerce Clause. *Healy v. Beer Inst.*, 491 U.S. 324, 326 n.1 (1989). The purpose of the dormant Commerce Clause is to “prohibit state or municipal laws whose object is local economic protectionism, laws that would excite those jealousies and retaliatory measures the Constitution was designed to prevent.” *C & A Carbone, Inc. v. Town of Clarkstown, N.Y.*, 511 U.S. 383, 390 (1994). However, its restrictions are “by no means absolute” and “[s]tates retain authority under their general police powers to regulate matters of legitimate local concern, even though interstate commerce may be affected.”

⁷ Plaintiffs assert that Shark Fin Prohibition places “arbitrary restrictions” on which parts of the shark may be used. Plaintiffs’ Brief, 17. As discussed above, Plaintiffs have not demonstrated that the Legislature’s decision to prohibit shark fins, as opposed to the entire shark, is arbitrary or irrational so as to offend the Equal Protection Clause. *See, e.g., Dandridge v. Williams*, 397 U.S. at 485. Moreover, there is considerable evidence that shark products, other than the fin, are in low demand and do not drive the practices of finning or overfishing. *See, e.g.,* Gordon Decl., Exhs. H at pp. 3-4 & J.

1 *Maine v. Taylor*, 477 U.S. 131, 138 (1986) (citations and quotations omitted). “As long as a State
 2 does not needlessly obstruct interstate trade or attempt to place itself in a position of economic
 3 isolation, it retains broad regulatory authority to protect the health and safety of its citizens and
 4 the integrity of its natural resources.” *Id.* at 151 (citations and quotations omitted).

5 Whether state legislation violates the dormant Commerce Clause is generally analyzed
 6 under a two-tiered approach. *Brown-Forman Distillers Corp. v. N.Y. State Liquor Auth.*, 476
 7 U.S. 573, 578-79 (1986). A statute is essentially per se invalid if it directly regulates or
 8 discriminates against interstate commerce or if its effect is to favor in-state economic interests
 9 over out-of-state interests. *Id.* at 579. On the other hand, when a statute is nondiscriminatory and
 10 “has only indirect effects on interstate commerce and regulates evenhandedly, [the Court has]
 11 examined whether the State’s interest is legitimate and whether the burden on interstate
 12 commerce clearly exceeds the local benefits.” *Id.* (citing *Pike v. Bruce Church, Inc.*, 397 U.S.
 13 137, 142 (1970)).

14 **1. The Shark Fin Prohibition does not discriminate against interstate**
 15 **commerce nor does it regulate extraterritorially**

16 Plaintiffs do not, and cannot, allege that the Shark Fin Prohibition either regulates
 17 extraterritorially or discriminates in favor of in-state interests. The Shark Fin Prohibition bans,
 18 subject to limited exceptions, the possession, sale, trade, and distribution of shark fins in
 19 California. It is facially neutral and treats all shark fins, regardless of their point of origin, exactly
 20 the same. *See generally*, Fish & Game Code §§ 2021 & 2021.5. The Shark Fin Prohibition also
 21 does not directly, or indirectly, control commerce occurring wholly outside of California. *Healy*
 22 *v. Beer Inst.*, 491 U.S. at 336 (invalidating a statute under the Commerce Clause because it had
 23 the practical effect of controlling prices in other states); *Gerling Global Reinsurance Corp. of Am.*
 24 *v. Low*, 240 F.3d 739, 746 (9th Cir. 2001) (holding state law did not violate Commerce Clause
 25 where statute “on its face, does not regulate foreign insurance policies, or control the substantive
 26 conduct of a foreign insurer, or otherwise affect ‘the business of insurance’ in any other
 27 country”). Accordingly, it is Plaintiffs’ burden to establish that any incidental burdens on
 28 interstate commerce caused by the Shark Fin Prohibition are clearly excessive in relation to the

putative local benefits. *Pike v. Bruce Church, Inc.*, 397 U.S. at 142; *Pacific Nw. Venison Producers v. Smitch*, 20 F.3d at 1013.

2. The Shark Fin Prohibition serves a legitimate local purpose and its benefits outweigh any burden on interstate commerce

The Ninth Circuit recently has clarified that where, as here, a regulation “does not impose a significant burden on interstate commerce, it follows that there can not be a burden on interstate commerce that is ‘clearly excessive in relation to the putative local benefits’ under *Pike*” and a full application of the *Pike* balancing test is not required. *National Optometrists & Opticians v. Harris*, 682 F. 3d 1144, (9th Cir. 2012) (citing *Exxon Corp. v. Governor of Maryland*, 437 U.S. 117, 125-129 (1978)); *see also National Paint Coatings Ass’n v. City of Chicago*, 43 F.3d 1124, 1132 (7th Cir. 1995) (“the ordinance affects interstate shipments, but it does not discriminate against interstate commerce in either terms or effect. No disparate treatment, no disparate impact, no problem under the dormant commerce clause”); *Illinois Rest. Ass’n v. City of Chicago*, 492 F. Supp. 2d 891,904 (N.D. Ill. 2007) (same).

Plaintiffs have not identified any significant burden on interstate commerce. At most, Plaintiffs argue that the Shark Fin Prohibition will be damaging to them and their industries. *See* Plaintiffs’ Brief, 11-12. However, the point of the dormant Commerce Clause is to prevent local economic protectionism at the expense of out-of-state interests, not to protect the economic interests of businesses engaged in interstate commerce. *See Carbone*, 511 U.S. at 390. Indeed, the Commerce Clause “protects the interstate market, not particular interstate firms, from prohibitive or burdensome regulations.” *Exxon Corp. v. Governor of Maryland*, 437 U.S. at 127-128; *see also National Optometrists*, 682 F. 3d at 1152 & n. 11 (“the dormant Commerce Clause does not protect a particular company’s profits”); Gordon Decl., Exh. P (*Cramer v. Brown*, No. CV-3130-JFW (C.D. Cal. September 12, 2012) (dismissing Commerce Clause challenge to Proposition 2, stating that “the mere fact that the price of eggs may increase or that egg production may shift from in-state egg farmers to out-of-state farmers” is not a significant burden on interstate commerce)). Plaintiffs’ unelaborated suggestion that the Shark Fin Prohibition “unnecessarily restricts the shark fin trade *entirely*,” and is “therefore a blatant excessive

1 restriction on interstate commerce” also fails. Plaintiffs’ Brief, 18 (emphasis in original);
 2 Complaint ¶ 40. The complete “restriction” of trade is entirely permissible under the Commerce
 3 Clause. “An import ban that simply effectuates a complete ban on commerce in certain items is
 4 not discriminatory, as long as the ban on commerce does not make distinctions based on the
 5 origin of the items.” *Pacific Nw. Venison Producers v. Smitch*, 20 F.3d at 1012; *see also*
 6 *Reynolds v. Buchholzer*, 87 F.3d 827, 831 (6th Cir. 1996) (upholding a state regulation precluding
 7 commercial fishing of walleye where “statute and regulations in issue here cannot be interpreted
 8 as favoring local enterprise and intentionally discriminating against interstate commerce”).

9 Despite failing to demonstrate any cognizable burden on interstate commerce, Plaintiffs
 10 assert that the Shark Fin Prohibition “clearly places an excessive burden” in relation to any
 11 putative benefits because it is “overbroad and “duplicative” of existing legislation. Plaintiffs’
 12 Brief, 18; Complaint, ¶¶ 41-43. In fact, the Shark Fin Prohibition fills a gap left by existing
 13 legislation and is neither duplicative nor overbroad. *Compare* Fish & Game Code §§ 2021 *and*
 14 2021.5, *with* 16 U.S.C. §§ 1801-1882.⁸ However, even if it the Shark Fin Prohibition were
 15 duplicative or overbroad, this would be irrelevant to the dormant Commerce Clause analysis. *See*
 16 *CTS Corp. v. Dynamics Corp. of America* (1987) 481 U.S. 69, 92 (in context of dormant
 17 Commerce Clause analysis, courts should not “second guess the empirical judgments of
 18 lawmakers concerning the utility of legislation”). Regardless of whether Plaintiffs believe the
 19 Shark Fin Prohibition to be “necessary,” “[a] facially neutral statute may violate the Commerce
 20 Clause if ‘the burdens of the statute . . . so outweigh the putative benefits as to make the statute
 21 unreasonable or irrational.’” *UFO Chuting of Hawaii, Inc. v. Smith*, 508 F.3d 1189, 1196 (9th
 22 Cir. 2007) (citation omitted). A statute is unreasonable or irrational when “the asserted benefits

23
 24 ⁸ Specifically, current federal and California laws regarding shark finning do not address
 25 the issue of the shark fin trade. California is a major market and trafficking center for shark fins.
 26 Given that the majority of shark fins and shark fin products are processed in Asia and then
 27 exported around the world, fins imported and sold in California could have come from any of
 28 dozens of countries that continue to allow finning. *See* Gordon, Decl., Exhs. G & K. Moreover,
 because once a fin is separated from the shark and/or dried and processed, it is extremely difficult
 to determine from which species of shark the fin derives, bans limited to endangered sharks are
 infeasible. *Id.*, Exh. K.

of the statute are in fact illusory or relate to goals that evidence an impermissible favoritism of in-state industry over out-of-state industry.” *Id.* That is not the case here. As discussed above, the protection of public health, wildlife, and of the ecosystem, is a legitimate state interest. *Merrifield v. Lockyer*, 547 F.3d at 986; *Pacific Nw. Venison Producers*, 20 F.3d at 1013. The Shark Fin Prohibition thus carries “a strong presumption” of constitutional validity that that Plaintiffs have failed to rebut. *Pacific Northwest Venison Producers*, 20 F.3d at 1014; *see also National Optometrists*, 682 F. 3d at 1156 (where no constitutionally significant burden on interstate commerce has been shown, courts give even greater deference to the asserted benefits of a state law or regulation). Therefore, Plaintiffs have failed to establish likelihood of success on the merits of their Commerce Clause claim.

E. The Shark Fin Prohibition is Not Preempted by Federal Law

Plaintiffs assert, baldly, that the Shark Fin Prohibition is preempted by the Magnuson Stevens Act, 16 U.S.C. §§ 1801-1884 (“MSA”), as amended by the Shark Finning Prohibition Act of 2000 and the Shark Conservation Act of 2010 (collectively, Federal Shark Fin Law).⁹ *See* Complaint, ¶ 48; Plaintiffs’ Brief, 18:20-26. As a threshold matter, the Court need not consider this claim as Plaintiffs have failed to provide any meaningful analysis, argument, or authority in support of it. *See* N.D. Local Rule 7-4 (a)(5) (requiring argument and citation of pertinent authorities); *cf. Christian Legal Soc’y v. Wu*, 626 F.3d 483, 487-88 (9th Cir. 2010). The six lines that Plaintiffs devote in their brief to this claim fall far short of meeting their burden to establish a likelihood of success on the merits. Moreover, Plaintiffs cannot meet their burden as their preemption claim is entirely without merit.

⁹ Plaintiffs seem to suggest that the Shark Fin Prohibition also is preempted by the Lacey Act. The Lacey Act is a “federal tool to aid states in enforcing their own fish and wildlife laws by imposing federal sanctions for interstate or foreign commerce in fish and wildlife that have been taken, possessed, transported, or sold in violation of any state, federal, or foreign law. *United States v. McDougall*, 25 F.Supp.2d 85, 89 (N.D.N.Y. 1998) (citation omitted); 16 U.S.C. § 3372. The Lacey Act does not preempt state fish and wildlife laws; rather, it was designed to “strengthen and support” them. *U.S. v. Cameron*, 888 F.2d 1279, 1284 (9th Cir. 1989) (citing S.Rep. No. 123, 97th Cong., 1st Sess. 2, 4, *reprinted in* 1981 U.S.Code Cong. & Admin.News 1748, 1751).

1 Federal law may preempt state law in one of three ways. First, Congress may expressly
 2 state its intent to preempt state law in the direct language of a statute. *Jones v. Rath Packing Co.*,
 3 430 U.S. 519, 525 (1977). Second, Congressional intent to preempt state law can be inferred
 4 when Congress “occupies the field” by passing a comprehensive legislative scheme that leaves
 5 “no room” for supplemental regulation. *Rice v. Santa Fe Elevator Corp.*, 331 U.S. 218, 230
 6 (1947). Third, federal law may preempt state law to the extent that state law directly conflicts
 7 with federal law. See *Florida Lime & Avocado Growers, Inc. v. Paul*, 373 U.S. 132, 141-43
 8 (1963). Conflict preemption requires that “compliance with both federal and state regulations is a
 9 physical impossibility,” *id.*, or where state law “stands as an obstacle to the accomplishment and
 10 execution of the full purposes and objectives of Congress.” *Pacific Gas & Electric Co. v. State*
 11 *Energy Resources Cons. and Dev. Comm.*, 461 U.S. 190, 204 (1983).

12 The purpose of Congress is the “ultimate touchstone” in every preemption case. *Retail*
 13 *Clerks Int’l Ass’n v. Schermerhorn*, 375 U.S. 96, 103 (1963). Preemption analysis “starts with
 14 the assumption that the historic police powers of the States [are] not to be superseded by [a]
 15 Federal Act unless that is the clear and manifest purpose of Congress.” *Rice*, 331 U.S. at 230; see
 16 also *Florida Lime & Avocado Growers*, 373 U.S. at 142 (“[F]ederal regulation of a field of
 17 commerce should not be deemed preemptive of state regulatory power in the absence of
 18 persuasive reasons -- either that the nature of the regulated subject matter permits no other
 19 conclusion, or that the Congress has unmistakably so ordained”). A court must presume that a
 20 state statute is not preempted, and the moving party has the burden of overcoming that
 21 presumption. *Pharmaceutical Research and Mfrs. of America v. Walsh*, 538 U.S. 644, 661-662
 22 (2003); *Chemical Specialties Mfrs. Ass’n*, 958 F.2d at 943.

23 Here, there is no Congressional intent to preempt state regulation of or relating to shark
 24 finning. To the contrary, the implementing regulations of the Federal Shark Fin Law expressly
 25 invite state regulation. Specifically, Code of Federal Regulations section 600.1201(c) contains a
 26 savings clause, which provides that “[n]othing in this regulation supersedes more restrictive state
 27 laws or regulations regarding shark finning in state waters.” 50 C.F.R. § 600.1201(c). With
 28 respect to the MSA generally, while Congress claimed “sovereign rights and exclusive fishery

1 management authority over all fish” located within the United States Exclusive Economic Zone
 2 (“EEZ”),¹⁰ *see* 16 U.S.C. § 1811(a), states retain jurisdiction over their own waters and limited
 3 concurrent authority over fishing activities in federal waters. *See, e.g.*, 16 U.S.C. §§ 1856(a)(1) &
 4 (3); 1856(b); *see also People v. Weeren*, 26 Cal.3d 654, 669 (1980). Thus, because the MSA and
 5 Federal Shark Fin Law explicitly demonstrate Congressional intent to allow concurrent state
 6 regulation, there is no express and/or field preemption here. *See, e.g., Ting v. AT&T*, 319 F.3d
 7 1126, 1136 (9th Cir. 2003).

8 To the extent that Plaintiffs suggest that conflict preemption applies, this also fails.
 9 Plaintiffs have not demonstrated that in any, let alone every, case it will be physically impossible
 10 to comply with both Federal Shark Fin Law and the Shark Fin Prohibition. *Florida Lime &*
 11 *Avocado Growers*, 373 U.S. at *Washington State Grange*, 552 U.S. at 450. Far from, as Plaintiffs
 12 argue, “essentially rendering federal regulations . . . moot,” compliance with the Shark Fin
 13 Prohibition has no affect on compliance with Federal Shark Fin Law as these laws predominantly
 14 regulate different activities. *See* Plaintiffs’ Brief, 18:20. Federal Shark Fin Law primarily
 15 addresses the act of finning and the taking and landing of sharks within the U.S. EEZ. *See* 16
 16 U.S.C. §§ 1801-1882. The Shark Fin Prohibition, by contrast, prohibits the possession, sale, trade,
 17 and distribution of shark fins in California. *See* Fish & Game Code §§ 2021 & 2021.5. The
 18 Shark Fin Prohibition does not interfere with federal management of the U.S. EEZ, nor does it
 19 affect landing activities or any conduct taking place on the water. Rather, the Shark Fin
 20 Prohibition fills a gap left by federal legislation and promotes the conservation of sharks by, inter
 21 alia, eliminating the California market for fins. *See* Stats. 2011, ch. 524 (A.B. 376), §1. Where
 22 there is overlap between the federal and state statutes, with respect to the prohibition on the
 23 possession, sale, and trade of illegally obtained fins, the statutes are entirely consistent, and
 24 consequently, compliance with one entails compliance with the other. *Compare* 16 U.S.C. § 1857
 25
 26

27 ¹⁰ The EEZ is the 200 nautical mile zone extending from the outer boundary of each
 28 state’s territorial waters. *See* 16 U.S.C. § 1802.

(1)(G) and 50 C.F.R. §§ 600.1203(a)(5) & 1204(e)(1) with Fish & Game Code §§ 2021 & 2021.5. It is thus entirely possible to comply with both federal and state regulations.¹¹

Similarly, Plaintiffs also cannot establish that the Shark Fin Prohibition would frustrate the purpose of Congress. Plaintiffs have not identified any “significant federal regulatory objective” that is impaired by the Shark Fin Prohibition. *Williamson v. Mazda*, 131 S. Ct. 1131, 1134, 1136-37 (2011). Although Plaintiffs state that federal law allows for the trade of legally obtained shark fins, this is not the “objective” of Federal Shark Fin Law. Rather, Congress enacted the Federal Shark Fin Law for the purpose of “eliminat[ing] shark finning.” *United States v. Approximately 64,695 Pounds of Shark Fins*, 520 F.3d 976, 982 (9th Cir. 2008) (citing Pub.L. 106-557, 114 Stat. 2772 (2000)). The Shark Fin Prohibition, which seeks to curtail shark finning by eliminating a significant source of demand for shark fins, is consistent with this purpose. In light of the Shark Fin Prohibition’s complete lack of any conflict with federal law and/or interference with the “methods by which the federal statute was designed to reach [its] goal,” Plaintiffs cannot overcome the strong presumption against preemption, and their preemption claim provides no basis for the requested preliminary injunction. *Ting v. AT&T*, 319 F.3d at 1137, 1152.

III. PLAINTIFFS HAVE FAILED TO DEMONSTRATE IRREPARABLE INJURY

In addition to failing to show a likelihood of success on the merits of their claims, Plaintiffs also have not met their burden to demonstrate irreparable injury. As shown above, Plaintiffs have not established that they have suffered a cognizable injury, and certainly not one that is irreparable. Plaintiffs argue that they will be irreparably harmed by the denial of their equal protection rights to engage in cultural practices involving shark fins. *See* Plaintiffs’ Brief, 19-20.

¹¹ *Nat’l Meat Ass’n v. Harris*, 132 S. Ct. 965 (2012), on which Plaintiffs ostensibly rely, is not to the contrary. *See* Plaintiffs’ Brief, n. 8. In *Nat’l Meat Ass’n*, the Supreme Court held that the Federal Meat Inspection Act (“FMIA”) preempted a California law dictating what slaughterhouses must do with pigs that become nonambulatory. 132 S. Ct. at 968. This holding relied on an express preemption clause in the FMIA that prevented a state from imposing not just conflicting, but any additional or different requirements concerning a slaughterhouse’s facilities or operations. *Id.* at 970. The Federal Shark Fin Law contains no such preemption clause. To the contrary, and discussed above, it contains a savings clause that specifically allows more stringent state regulation of shark finning. *See* 50 C.F.R. § 600.1201(c). Accordingly, *Nat’l Meat Ass’n* is inapposite.

1 However, as discussed above, Plaintiffs do not have an equal protection right to use shark fins.
 2 The Shark Fin Prohibition does not discriminate on the basis of race or involve a fundamental
 3 right and is rationally related to a legitimate governmental purpose. Accordingly, it does not
 4 violate the Equal Protection Clause. *See Hispanic Taco Vendors*, 994 F.2d at 679-81. While
 5 Plaintiffs are correct that an alleged constitutional infringement will often alone constitute
 6 irreparable harm, where, as here, a constitutional claim is unsupported and fails as a matter of
 7 law, it is “too tenuous” to support the requested relief. *Goldie’s Bookstore, Inc. v. Superior Ct.*,
 8 739 F.2d 466, 472 (9th Cir. 1984).

9 Most of the interim harm that Plaintiffs allege is lost profits. *See* Plaintiffs’ Brief, 12, 20;
 10 Declaration of Francis Chow; Declaration of Tony Mak; Declaration of Xing Xia Guo Kan.
 11 However, such economic harm is not “irreparable.” *See Colorado River Indian Tribes v. Town of*
 12 *Parker*, 776 F.2d 846, 851 (9th Cir. 1985); *Goldie’s Bookstore, Inc.*, 739 F.2d at 472 (“Mere
 13 financial injury ... will not constitute irreparable harm if adequate compensatory relief will be
 14 available in the course of litigation.”); *see also Sampson v. Murray*, 415 U.S. 61, 90 (1974) (“The
 15 key word in this consideration is irreparable. Mere injuries, however substantial, in terms of
 16 money, time and energy necessarily expended ... are not enough”). When economic harm can be
 17 remedied “in the ordinary course of litigation” through a damages award, the extraordinary
 18 remedy of a preliminary injunction is not warranted. *Los Angeles Mem’l Coliseum Comm’n v.*
 19 *National Football League*, 634 F.2d 1197, 1202-03 (9th Cir. 1980).¹² Moreover, to the extent
 20 that Plaintiffs’ allegations of future economic are harm are speculative, they also do not constitute
 21 irreparable injury. *Goldie’s Bookstore, Inc.*, 739 F.2d at 472. Finally, the fact that Plaintiffs
 22 waited more than seven months after the enactment of the Shark Fin Prohibition before seeking a

23 ¹² Plaintiffs cite *Rent-A-Center, Inc. v. Canyon Television & Appliance Rental, Inc.*, 944
 24 F.2d 597 (9th Cir. 1991), for the proposition that business injuries can constitute irreparable harm.
 25 In *Rent-A-Center*, the court held that economic injury alone does not support a finding of
 26 irreparable harm, but stated “intangible injuries, such as damage to ongoing recruitment efforts
 27 and goodwill, qualify as irreparable harm.” *Id.* at 603. Plaintiffs have not proven that they will
 28 suffer any such intangible injuries in the absence of a preliminary injunction. Nor have Plaintiffs
 shown that the threat of bankruptcy to their members is more than speculative. *Goldie’s*
Bookstore, Inc., 739 F.2d at 472; *see also Henkell v. U.S.*, No. S-96-2228, 1998 WL 41565, at *5
 (E.D. Cal. Jan. 9, 1998) (holding that economic harm alone, even the “financial ruination” of the
 plaintiffs’ business, does not satisfy plaintiffs’ burden of showing irreparable harm)..

1 preliminary injunction “implies a lack of urgency and irreparable harm.” *Oakland Tribune, Inc.*
2 *v. Chronicle Pub. Co., Inc.*, 762 F.2d 1374, 1377 (9th Cir. 1985).

3 **IV. THE BALANCE OF HARDSHIPS AND PUBLIC INTEREST TIP IN FAVOR OF DENYING RELIEF**

4 Plaintiffs cannot establish sufficient harm to outweigh the fact that “[a]ny time a State is
5 enjoined by a court from effectuating statutes enacted by representatives of its people, it suffers a
6 form of irreparable injury.” *Maryland v. King*, No. 12A48, 2012 WL 3064878, at *2 (U.S. July
7 30, 2012) (quoting *New Motor Vehicle Bd. of Cal. v. Orrin W. Fox Co.*, 434 U.S. 1345, 1351
8 (1977)). Plaintiffs have not demonstrated that the future inability to obtain shark fins by the small
9 percentage of the population that actually wants to do so, or the loss of profits by certain
10 merchants outweighs the interest of the Legislature in protecting the marine ecosystem by
11 eliminating the demand for a product that drives the pernicious practice of finning. Whatever
12 harm Plaintiffs may suffer, it pales in comparison to the harms to the oceans caused by the
13 decline of sharks, an apex predator. *See Save Our Sonoran, Inc. v. Flowers*, 408 F.3d 1113, 1124
14 (9th Cir. 2005) (irreparable injury found because “once the desert is disturbed, it cannot be
15 restored”). Thus, the compelling public interest in conserving sharks and protecting the health of
16 our oceans outweigh the purported harms to Plaintiffs. *See Fed. Trade Comm’n v. Affordable*
17 *Media, LLC*, 179 F.3d 1228, 1236 (9th Cir. 1999) (“[W]hen a district court balances the hardships
18 of the public interest against a private interest, the public interest should receive greater weight”)
19 (citation omitted). Accordingly, Plaintiffs’ motion for a preliminary injunction should be denied.

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22 //

CONCLUSION

For the foregoing reasons, Defendants respectfully request that the Court deny Plaintiffs' request for injunctive relief.

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Respectfully Submitted,

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